



COTSWOLD
DISTRICT COUNCIL

AUDIT COMMITTEE

AGENDA

Tuesday 29th August 2017, 10.00 a.m.

Council Chamber, Trinity Road, Cirencester

NOTES

(i) Questions Arising on the Agenda

If any Member has any questions regarding either (a) an update/progress report on a specific item contained in the Minutes of the previous Meeting or (b) a report contained within the Agenda, he/she is requested to give advance notice of such question to the Director/Officer originating the report or to an Officer of the Democratic Services Section so that a full response can be made available either prior to, or at, the Meeting. If no such advance notification is given, a full response to any question cannot be guaranteed at the Meeting.

With specific regard to the Minutes of previous Meetings, Members' attention is drawn to Council Procedure Rule 17.1 which provides that, once the Minutes have been signed, Members may ask questions to ascertain what progress has been made on a particular matter referred to in the Minutes, but may not make any other statement or generate discussion on the Minutes.

(ii) Mobile Phones/Pagers

All mobile phones/pagers should be **SWITCHED OFF OR SET TO SILENT MODE BEFORE** the start of the Meeting.

(iii) Recording of Proceedings

The public proceedings of Council, Cabinet, and Committee Meetings may be recorded, which includes filming as well as audio-recording. Photography is also permitted.

As a matter of courtesy, if you intend to record any part of the proceedings please let the Committee Administrator know before the start of the Meeting.

Recording/filming should not be disruptive or distracting to the good order and conduct of the Meeting. To assist with this, an area of the Meeting venue will be designated from which proceedings can be recorded/filmed, and 'roaming' around the venue while recording is not permitted. The Chairman will exclude anyone whose behaviour is disruptive.

Recording/filming should only be of Members and Council Officers, and not any members of the public (unless they are formally addressing the Meeting or unless specific permission has been given by those individuals).

For further information, please read the Notices displayed inside and outside the Meeting venue and/or speak with the Committee Administrator.

(iv) Committee Administrator

If any Member has any general questions about the Meeting or the associated agenda papers, or is unable to attend, he/she is asked to contact Derek Chiplin on 01285 623204 who will be the Committee Administrator responsible for the Meeting.

Distribution:

All Members of the Audit Committee
(Councillors AW Berry, T Cheung, PCB Coleman, R Theodoulou and LR Wilkins)

All other Councillors for information



for **Nigel Adams**
Head of Democratic Services

17th August 2017

AUDIT COMMITTEE : 29TH AUGUST 2017

AGENDA

- (1) **Apologies**
- (2) **Substitute Members** - To note details of any substitution arrangements in place for the Meeting.

Note:

The procedures in respect of substitution arrangements are principally set out in Council Procedure Rule 29. Particular attention is drawn to the fact that the Head of Democratic Services must be notified of any intended substitution **by 5.00 p.m. on the working day prior to the day of the Meeting**. Please note that neither a Member of the Cabinet, nor the Chairman of the Council, may substitute.

- (3) **Declarations of Interest** - To receive any declarations of interest from Members under:-
 - (i) the Code of Conduct for Members; and/or
 - (ii) Section 106 of the Local Government Finance Act 1992 (any Councillor who has Council Tax payments remaining unpaid for at least two months must declare an interest and not participate in any matter affecting the level of Council tax or arrangements for administering the Council Tax).

- (4) **Minutes**

To confirm the Minutes of the Meeting of the Committee held on 4th July 2017 (attached).

- (5) **Chairman's Announcements** (if any)
- (6) **Public Questions** - Council Procedure Rule 10 - Not more than fifteen minutes allowed for written questions to be put by Local Government electors within the Cotswold District on any matter in relation to which the Council has any power or duties or which affects the district, and which falls within the Terms of Reference of the Committee.
- (7) **Member Questions** - Council Procedure Rule 11 - Not more than fifteen minutes allowed for written questions to be put by Members on any matter in relation to which the Council has any power or duties or which affects the district, and which falls within the Terms of Reference of the Committee.

Items for Consideration and Decision

(8) **Statement of Accounts 2016/17 (Chief Finance Officer)**
(Page 1)

To receive the audited Statement of Accounts 2016/17 and the Audit Findings for this Council.

Officer Recommendations

- (a) *That the Grant Thornton audit findings report for this Council be noted;*
- (b) *that the Statement of Accounts 2016/17 be approved;*
- (c) *that the considers Letter of Representation 2016/17 and approves it for signature by the Chairman of the Committee and the Chief Finance Officer, on behalf of the Council to enable the opinion to be issued.*

Officer Ref: Jenny Poole (010285 623313)

(9) **Annual Treasury Management Review 2016/17 Including Performance Against Prudential Indicators (Group Manager GO Shared Services)**
(Page 5)

To receive and discuss the Annual Treasury Management Review and to make a recommendation thereon to the Council.

Officer Recommendation

That, subject to any comments made by the Committee, the Council be recommended to approve the Annual Treasury Management Review 2016/17 and the associated Prudential Indicators.

Officer Ref: Jenny Poole (01285 623313)

(10) **Internal Audit Monitoring Report (Chief Finance Officer)**
(Page 16)

To consider Internal Audit activity since the Committee's last Meeting and approval of an updated Internal Audit Charter.

Officer Recommendations

- (a) *That the Internal Audit Monitoring Report be noted;*
- (b) *that the Internal Audit Charter be approved.*

Officer Ref: Jenny Poole (01285 623313)

(11) **Counter Fraud Unit - Update**
(Group Manager GO Shared Services/Counter Fraud Manager)
(Page 37)

To receive an update on the work of the Counter Fraud Unit.

Officer Recommendation

That the report be noted.

Officer Ref: Jenny Poole (01285 623313)/Emma Cathcart (01285 623356)

(12) **Appointment of External Auditor (Chief Finance Officer)**
(Page 42)

To receive an update on the appointment of the Council's external auditor.

Officer Recommendation

That the proposed External Auditor appointment be noted.

Officer Ref: Jenny Poole (01285 623313)

Other Matter

(13) **Other Business** - Such other business which, in the opinion of the Chairman, is urgent.

(END)